

Theta Lake Integration with Webex Teams

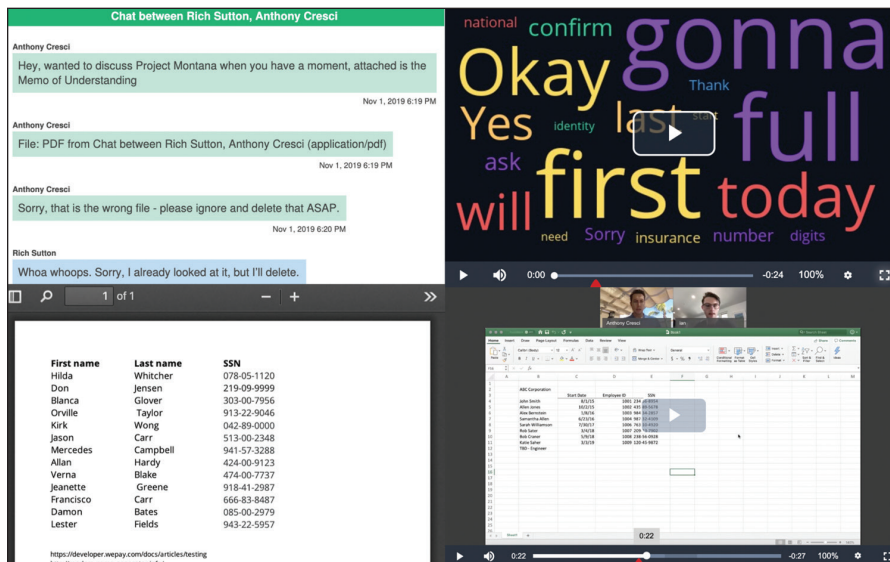
Compliance for collaborative chat communications, made easy

Collaboration chat creates a rich and effective way to communicate both internally and externally—from sending messages to sharing videos, audio, files, and other content. For regulated organizations to take advantage of chat, they must capture and archive their communications to comply with increasing electronic communication regulations, new privacy rules, and increased accountability regimes.

In their 2019 Exam Findings, FINRA has taken a proactive approach in this area, stating:

"If a firm permits its associated persons to use a particular application—for example, an app-based messaging service or a collaboration platform—the firm must preserve records of business-related communications and supervise the activities and communications of those persons on the application."

Theta Lake seamlessly integrates with Cisco Webex Teams to automate compliance management and detection of potential data loss in chat and shared content, as well as provides secure archiving and eDiscovery.



Capture, archive, search, supervise chat messages along with audio, video, files shared

Use Cases

#1 Archiving, retention, and eDiscovery for Webex Teams

Theta Lake enables compliance, legal, and risk teams to securely capture, retain, retrieve, and review fully threaded messages (modified and deleted), both from 1:1 and group chats, as well as any files shared through Webex Teams.

Conversations are saved in their original threaded context, plus shared files and rich media are archived to comply with SEC Rule 17a-4 data retention requirements. Legal and compliance teams can perform rich eDiscovery across threaded messages, shared files, and even within audio and video recordings. This includes spoken, shown, shared content.

Experience the Advantages

Theta Lake unlocks the benefits of chat collaboration for regulated industries with a purpose-built compliance platform that supervises and archives Webex Teams content.

Improve Compliance, Reduce Risk

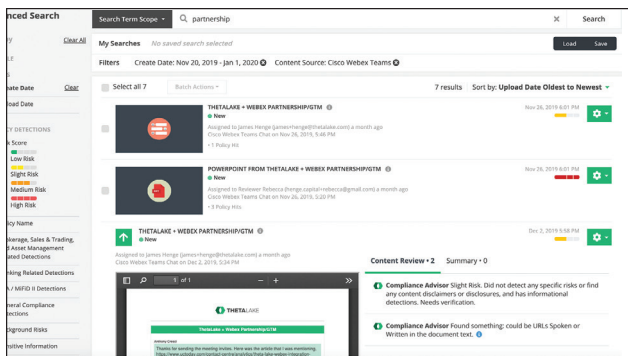
- AI-based technology reviews 100% of Webex Teams content for compliance and data loss risks, using 40+ pre-built policies and the ability to create custom rules
- Industry-leading security protects communications, including data encryption with BYO keys, regular penetration testing, SOC 2 Type 2, and more

Save Time and Money

- Robust eDiscovery tools to search across any content (messages, files, video, audio) within Webex Team communications to reproduce for audit requests
- Automated risk scoring enables compliance teams to focus manual review efforts on content with identified risks

Long-term, Secure Archiving & eDiscovery

- All Webex Teams content is securely preserved within Theta Lake's WORM-compliant archive (e.g., non-erasable, non-rewriteable) in its native file format to meet record-keeping requirements
- Theta Lake integrates with your legacy archive vendor to store copies of threaded messages and content shared from Webex Teams



Native integration enables seamless archiving, long-term retention, and eDiscovery for any content communicated across Webex Teams

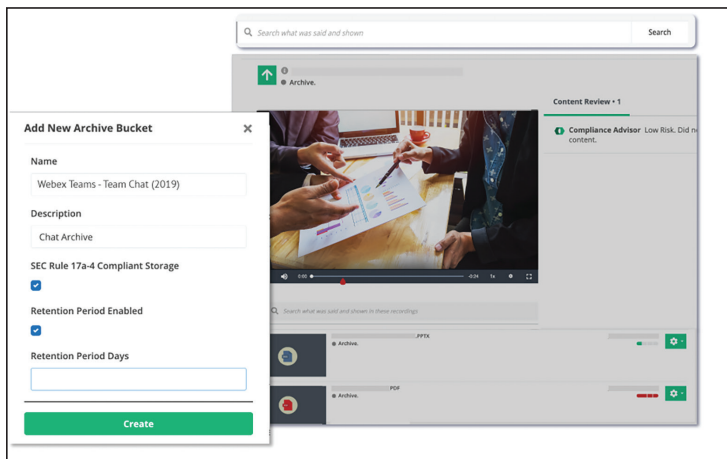
confidential data, risky behavior, and compliance risks, pointing reviewers to an exact piece of content that requires further investigation.

#3 Data loss protection

Unlock the power of Webex Teams collaboration tools without jeopardizing confidential client and company information. Theta Lake detects the sharing of PII and other sensitive information both within the messages and within any content that was shared. Supported content covers a wide range—from PDFs and Word documents to audio and video recordings. Theta Lake surfaces data loss detections in an AI-assisted workflow, which increases the efficiency and effectiveness of compliance teams in their review and remediation of risky content.

How it Works

Joint Webex Teams and Theta Lake customers benefit from policy-based retention, automated risk detection, and workflow insights that streamline supervision of collaboration chat.



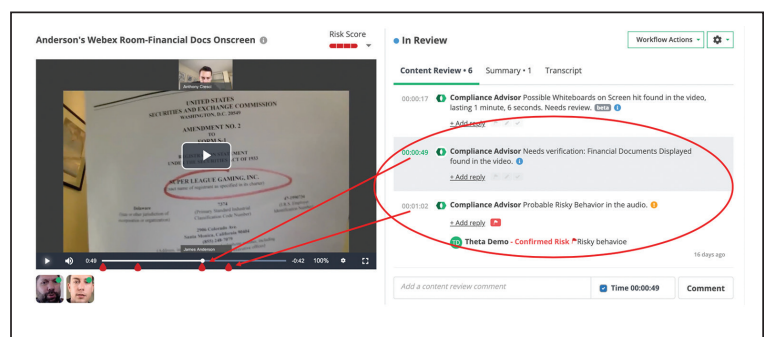
Secure, WORM-compliant archive with rule-based retention policies

With Theta Lake, you can customize content retention and disposition policies, apply legal-holds, and automate sending a copy of the content and analysis report to your existing third-party archives.

#2 Automating risk detection and review

Existing supervision practices typically only focus on the text within Teams chat, leaving a blind spot when it comes to files, audio recordings, video recordings, or images shared through this channel. Theta Lake takes a holistic approach to review. Our solution analyzes any form of shared content to identify potential data loss or compliance risks and makes it searchable for legal discovery.

Powered by deep learning and AI, Theta Lake automatically detects



Automated data loss and compliance risk detection in chat, files, video, and audio content

1. Theta Lake natively integrates with Webex Teams and enables you to apply compliance policies and create customizable workflows for user activity that you want to monitor.
2. Theta Lake automatically analyzes messages and shared content, assigns a risk score, and routes high-risk content to compliance reviewers to take action.
3. Organizations create rule-based policies for long-term content retention in a WORM-compliant archive and have access to rich eDiscovery across threaded messages and shared files.



ABOUT THETA LAKE. Theta Lake provides cloud-based compliance for video, audio, and other modern digital communications. Its patent-pending technology uses AI, deep learning, and seamlessly integrates with the leading audio recording, video marketing, and video conferencing platforms to detect compliance risks in what was said or shown in modern digital communications. Using AI to also power insights and automation, Theta Lake provides directed workflow to add consistency, efficiency, and scale to the compliance review and supervision process, driving down the cost of compliance.