# THETALAKE

Theta Lake Integration with Microsoft Teams

Compliance for collaborative chat and voice communications, made easy

Microsoft Teams is the fastest growing app in Microsoft's history with more than 1 million companies worldwide using it and 280 million daily active users, demonstrating the value and productivity gains from using Teams to collaborate with customers and partners.

Microsoft Teams delivers an effective way to communicate both internally and externally—from sending messages to sharing video, audio, files, and other content. Firms operating in regulated verticals need to comply with compliance, legal, and regulatory requirements on retention, eDiscovery, and supervision of electronic communications, including collaboration platforms. For example, financial services firms need to preserve business-related communications in a non-rewriteable and non-erasable format to comply with SEC 17a-4 Books and Records.
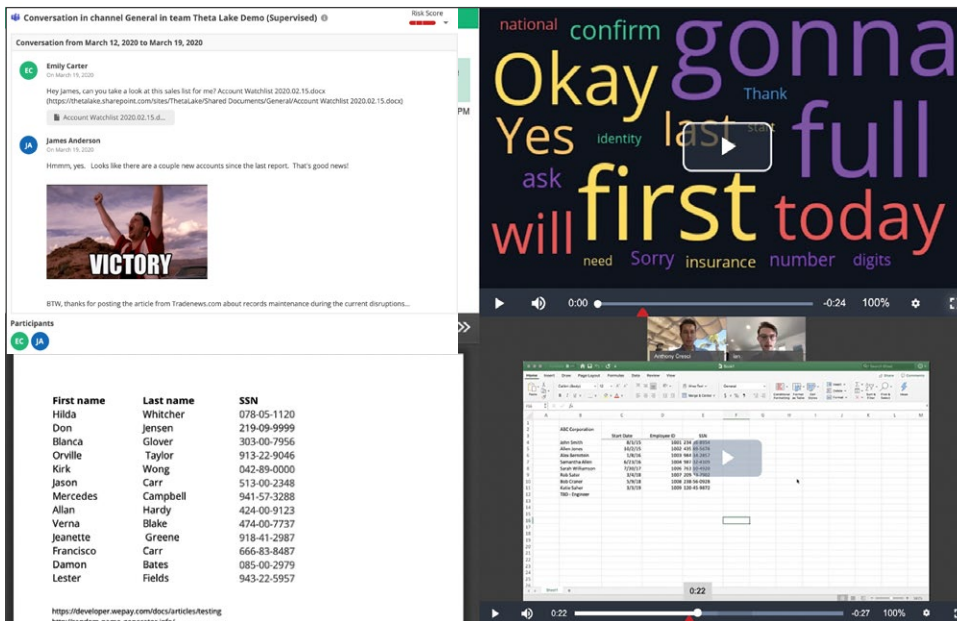
Capture, archive, search, and supervise chat messages along with audio, video, and shared files

Chat has also become a focus for regulators. FINRA's 2019 Examination Report Findings made a clear example of regulatory expectations for collaboration platform record-keeping: "If a firm permits its associated persons to use a particular application—for example, an app-based messaging service or a collaboration platform—the firm must preserve records of business-related communications and supervise the activities and communications of those persons on the application."

Theta Lake makes this simple by seamlessly integrating with Microsoft Teams to automate everything from capture to long-term compliant archiving, eDiscovery, supervision, and detection of potential data loss in chat and shared content.

Use Cases

#1 Archiving, retention, and eDiscovery for Microsoft Teams

Theta Lake enables compliance, legal, and risk teams to securely capture, retain, retrieve, and review fully threaded messages—both from 1:1 and group channels, as well as any compliance call recordings and voice messages and files shared through Microsoft Teams.

Experience the Advantages

Theta Lake unlocks the benefits of Microsoft Teams for regulated industries with a purpose-built compliance platform that supervises and archives chat, video and audio content

Long-term, secure archiving, and eDiscovery

- Automates capture, ingestion, and archiving of 1:1 and group channels, both in messages and in shared content (e.g., files, audio, videos, documents) and compliance call recordings
- Securely preserves Microsoft Teams content within Theta Lake's 17a-4 compliant storage that is hosted in a secure SOC2 Type 2 certified data center, which is specifically designed for collaboration platform archiving

Improve compliance, reduce risk

- Applies AI-based technology to review 100% of Microsoft Teams content for compliance and data loss risks using 85+ pre-built policies and any customer-created custom rules
- Enables compliance teams to focus manual review efforts on content based on identified risks

Save time and money

- Enables search within messages and any shared media files using robust eDiscovery tools
- Enables review of messages in a full threaded review screen that provides access to historical messages and content shared

Conversations are saved in their original threaded context. Plus, shared files and rich media are archived based on retention policies, enabling you to readily comply with SEC Rule 17a-4 data retention requirements. Legal and compliance teams can perform rich eDiscovery across threaded messages, shared files, and even within audio and video recordings. This includes spoken, shown, shared content.

#2 Automating risk detection and review

Existing supervision practices typically only focus on the text within Teams chat, leaving a blind spot when it comes to files, audio recordings, voice messages, video recordings, or images shared through this channel. Unmoderated content that users post in chat opens up risks for sharing inappropriate links, abusive statements, and PII content. Equally worrisome, sensitive documents can be left exposed indefinitely, without the security and compliance teams' knowledge.

Theta Lake takes a holistic approach to review. Powered by deep learning and AI, Theta Lake automatically detects confidential data, risky behavior, and compliance risks, pointing reviewers to an exact piece of content that requires further investigation.
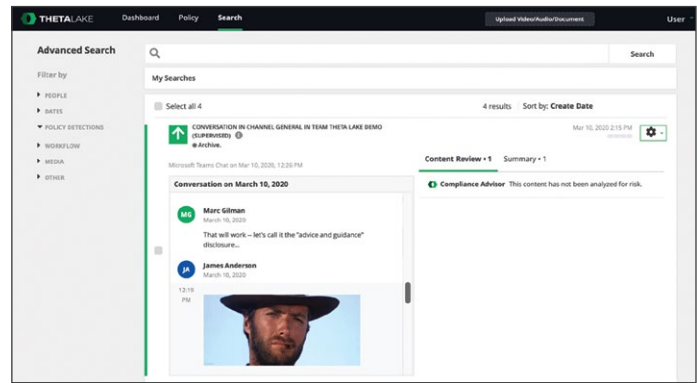
#3 Data Loss Protection

Unlock the power of Microsoft Teams collaboration without jeopardizing confidential client and company information. Theta Lake detects the sharing of PII and confidential information, not only in the messages within private and group channels but also within any content that was shared from PDFs, Word Documents, to even audio and video recordings.

Theta Lake surfaces data leakage detections in an AI-assisted workflow to enable compliance teams to be more efficient and effective in their review and mitigation of risky content. Through dedicated chat remediation, an organization can demonstrate to auditors and regulators that not only was the content supervised, but direct action was taken to remove risky communications from the chat conversations.

Native integration enables seamless capture, archival, long-term retention, and eDiscovery for any content communicated across Microsoft Teams

SOC2 Type2, WORM compliant archive captures and archives 1:1 and group channel communications

ML-powered policies automatically detect compliance, conduct, regulatory, and data loss risks
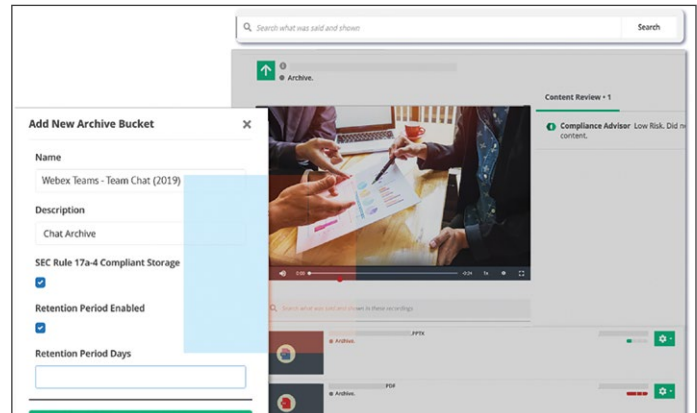
How it Works

Joint Microsoft Teams and Theta Lake customers benefit from policy-based retention, automated risk detection, and workflow insights that streamline supervision of collaboration chat.
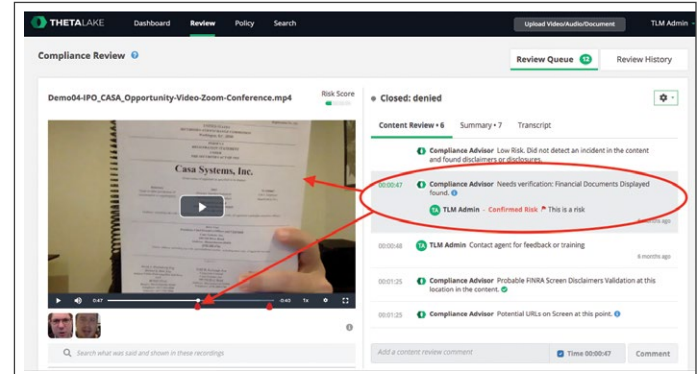
1. Theta Lake natively integrates with Microsoft Teams to capture 1:1 and Group channel content, enabling firms to apply rule-based retention policies in a WORM compliant archive

2. Theta Lake automatically analyzes messages and shared content against chosen compliance policies, assigns a risk score, and routes high-risk content to compliance reviewers to take action.

3. Organizations have access to rich eDiscovery across threaded messages and shared files, as well as the ability to apply legal holds on content.

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