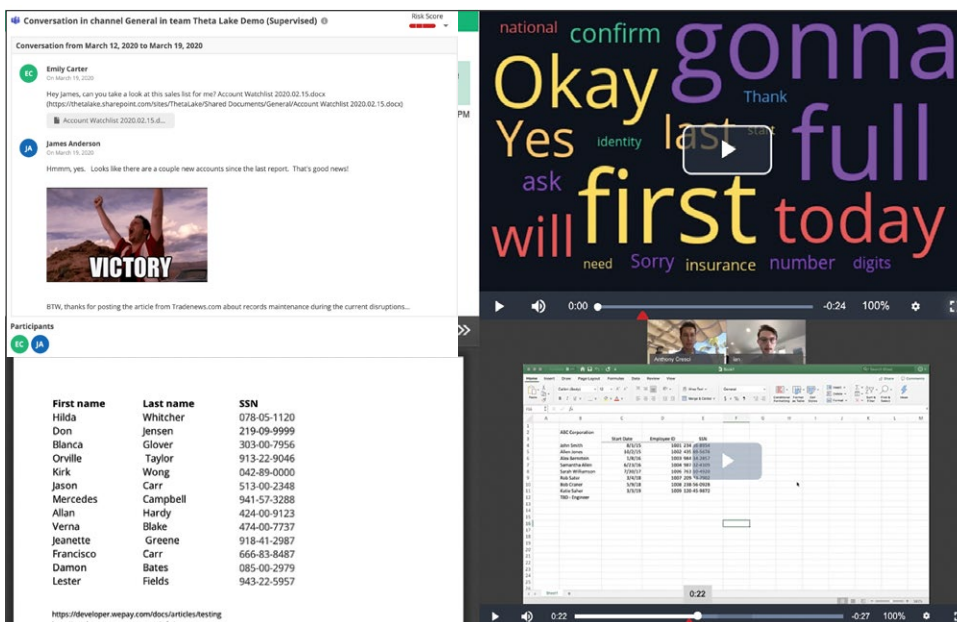


Theta Lake Integration with Microsoft Teams

Compliance for collaborative chat communications, made easy

Microsoft Teams is the fastest growing app in Microsoft's history with more than 330,000 companies worldwide using it and 115 million daily active users, demonstrating the value and productivity gains from using Teams to collaborate with customers and partners.

Collaboration chat creates a rich and effective way to communicate both internally and externally—from sending messages to sharing videos, audio, files, and other content. Firms operating in regulated verticals need to comply with compliance, legal, and regulatory requirements on retention, eDiscovery, and supervision of electronic communications, including collaboration platforms. For example, financial services firms need to preserve business-related communications in a non-rewriteable and non-erasable format to comply with SEC 17a-4 Books and Records.



Capture, archive, search, and supervise chat messages along with audio, video, and shared files

Chat has also become a focus for regulators. FINRA's 2019 Examination Report Findings are a clear example of regulatory expectations for collaboration platform record-keeping: "If a firm permits its associated persons to use a particular application—for example, an app-based messaging service or a collaboration platform—the firm must preserve records of business-related communications and supervise the activities and communications of those persons on the application."

Theta Lake makes this simple by seamlessly integrating with Microsoft Teams to automate everything from capture to long-term compliant archiving, eDiscovery, supervision, and detection of potential data loss in chat and shared content.

Use Cases

#1 Archiving, retention, and eDiscovery for Microsoft Teams

Theta Lake enables compliance, legal, and risk teams to securely capture, retain, retrieve, and review fully threaded messages—both from 1:1 and group channels, as well as any files shared through Microsoft Teams.

Experience the Advantages

Theta Lake unlocks the benefits of chat collaboration for regulated industries with a purpose-built compliance platform that supervises and archives Microsoft Teams content.

Long-term, secure archiving, and eDiscovery

- Automates capture, ingestion, and archiving of 1:1 and group channels, both in messages and in shared content (e.g., files, audio, videos, documents)
- Securely preserves Microsoft Teams content within Theta Lake's 17a-4 compliant storage that is hosted in a secure SOC2 Type 2 certified data center, which is specifically designed for collaboration platform archiving

Improve compliance, reduce risk

- Applies AI-based technology to review 100% of Microsoft Teams content for compliance and data loss risks using 50+ pre-built policies and any customer-created custom rules
- Enables compliance teams to focus manual review efforts on content based on identified risks

Save time and money

- Enables search within messages and any shared media files using robust eDiscovery tools
- Enables review of messages in a full threaded review screen that provides access to historical messages and content shared

Conversations are saved in their original threaded context. Plus, shared files and rich media are archived based on retention policies, enabling you to readily comply with SEC Rule 17a-4 data retention requirements. Legal and compliance teams can perform rich eDiscovery across threaded messages, shared files, and even within audio and video recordings. This includes spoken, shown, shared content.

#2 Automating risk detection and review

Existing supervision practices typically only focus on the text within Teams chat, leaving a blind spot when it comes to files, audio recordings, video recordings, or images shared through this channel. Unmoderated content that users post in chat opens up risks for sharing inappropriate links, abusive statements, and PII content. Equally worrisome, sensitive documents can be left exposed indefinitely, without the security and compliance teams' knowledge.

Theta Lake takes a holistic approach to review. Powered by deep learning and AI, Theta Lake automatically detects confidential data, risky behavior, and compliance risks, pointing reviewers to an exact piece of content that requires further investigation.

#3 Data Loss Protection

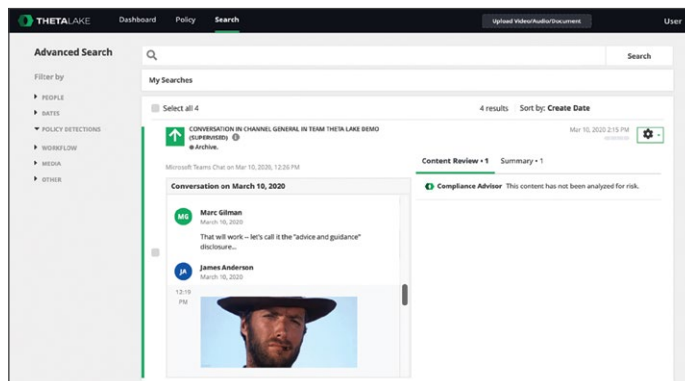
Unlock the power of Microsoft Teams collaboration without jeopardizing confidential client and company information. Theta Lake detects the sharing of PII and confidential information, not only in the messages within private and group channels but also within any content that was shared from PDFs, Word Documents, to even audio and video recordings.

Theta Lake surfaces data leakage detections in an AI-assisted workflow to enable compliance teams to be more efficient and effective in their review and mitigation of risky content. Through dedicated chat remediation, an organization can demonstrate to auditors and regulators that not only was the content supervised, but direct action was taken to remove risky communications from the chat conversations.

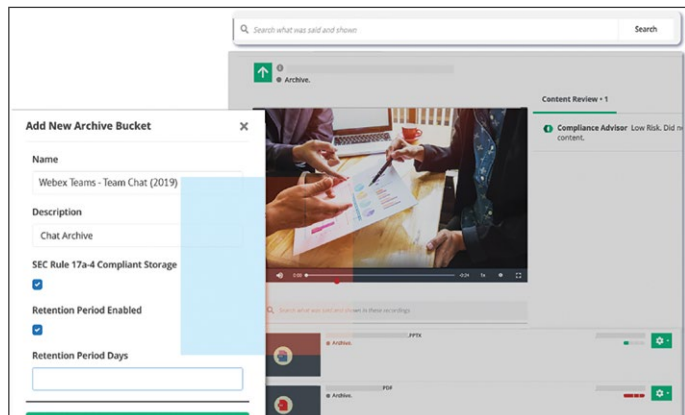
How it Works

Joint Microsoft Teams and Theta Lake customers benefit from policy-based retention, automated risk detection, and workflow insights that streamline supervision of collaboration chat.

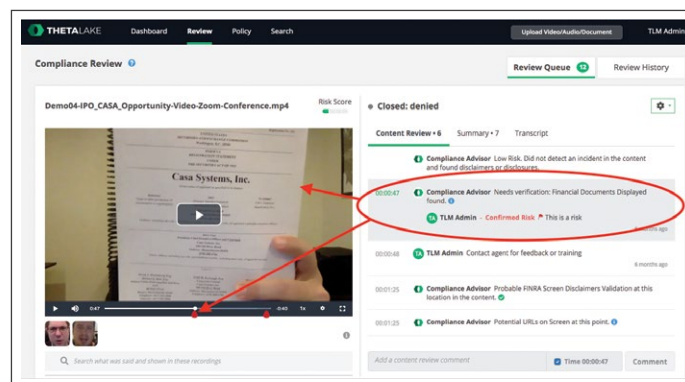
1. Theta Lake natively integrates with Microsoft Teams to capture 1:1 and Group channel content, enabling firms to apply rule-based retention policies in a WORM compliant archive
2. Theta Lake automatically analyzes messages and shared content against chosen compliance policies, assigns a risk score, and routes high-risk content to compliance reviewers to take action.
3. Organizations have access to rich eDiscovery across threaded messages and shared files, as well as the ability to apply legal holds on content.



Native integration enables seamless capture, archival, long-term retention, and eDiscovery for any content communicated across Microsoft Teams



SOC2 Type2, WORM compliant archive captures and archives 1:1 and group channel communications



ML-powered policies automatically detect compliance, conduct, regulatory, and data loss risks

ABOUT THETA LAKE. Theta Lake, Inc., dual winner of UC Today's 2020 Best Compliance Product and Best Security Product, was founded in 2017 by proven entrepreneurs and enterprise technologists with decades of leadership experience and recognition from Global 100 customers and top industry analysts. With a mission to provide modern collaboration security and compliance, Theta Lake's patented and multi-patent pending AI helps security and compliance teams more effectively and quickly scale their risk detection and the workflows for communication security, data loss protection, and supervision of modern video, voice, and unified collaboration systems. The Theta Lake Compliance Suite is SOC 2, Type 2 compliant. Visit us at Thetalake.com; LinkedIn; or Twitter at [@thetalake](https://twitter.com/thetalake).

