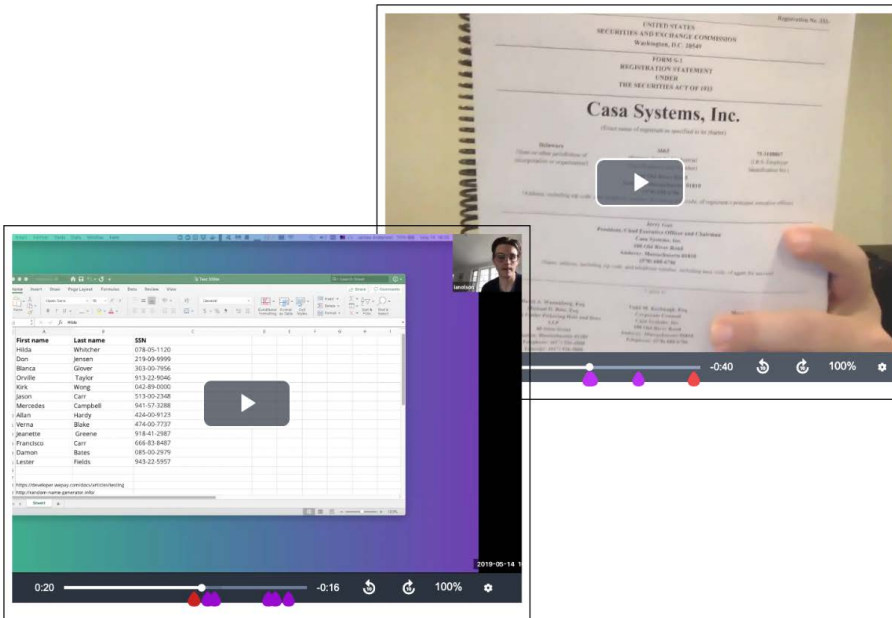


Theta Lake Integration with Zoom

Modern video communications and compliance made easy

Zoom is the leader in modern cloud-based video communications, making it easy for companies to engage with customers and collaborate with partners. For regulated industries, tapping into the benefits gained from video also means complying with retention, supervision, and review requirements of your digital communication.

Theta Lake seamlessly integrates with your Zoom platform to provide AI-based compliance and archiving with automated risk detection and review workflow of shown, shared, spoken, written, and whiteboard content. Theta Lake makes it easy to efficiently manage video compliance while saving time and resources.



Compliance risks can appear in visual and written formats.

Use Cases

#1 Including video in corporate governance practices

Regulations such as GDPR, Dodd-Frank, MiFID II, and FTC's Truth in Advertising expand communication recording requirements and put greater pressure on regulated industries to know what is in corporate communications, including video.

Adopting video communication without oversight creates additional compliance, risk, and legal concerns around data leakage and misconduct. If you aren't including video in your governance practices, now is the time to do so.

Experience the Advantages

Theta Lake unlocks the benefits of using Zoom in regulated industries by providing a purpose-built compliance platform to supervise and archive shown, shared, spoken, written, and whiteboard content.

Save Time and Money

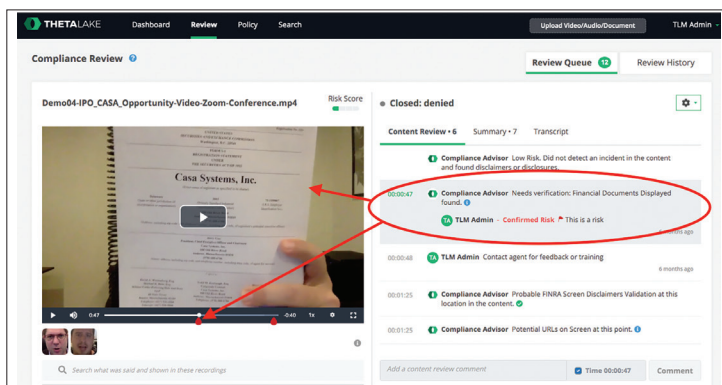
- AI-powered workflow makes reviewing video content easy, efficient, and consistent
- Detailed reports on audio and video recordings, identified risks, and review actions provide your managers and auditors a clear understanding, without requiring time-consuming re-review

Improve Compliance, Reduce Risk

- Automated risk prioritization surfaces the most urgent videos to review and eliminates random sampling and manual searches of raw transcripts
- Over 30 pre-built policies automatically detect regulatory, conduct, and corporate compliance risks in recordings

Improved Top Line

- With compliance oversight in place, you can enable the use of whiteboarding, content sharing, and other features that were previously disabled
- With compliance solved, expand Zoom usage to additional external-facing communications that require retention and supervision



Theta Lake automates risk detection in videos and uses AI to assist reviewers.

#2 Automating risk detection and review

Supervision approaches have typically relied on manual review of random samples and using search tools on transcripts. These approaches are not built to scale, lack accuracy, and are resource intensive.

Applying artificial intelligence can automate the review of 100% of your video recordings and surface compliance risks. If preferred, Theta Lake allows for selective recording of specific groups, which provides a baseline understanding of your compliance and data leakage risks.

Automation enables your compliance team to focus review efforts on higher-risk content and eliminates wasted efforts from viewing low-risk videos to demonstrate compliance.

#3 Data loss prevention for visual content

Video creates a new paradigm for collaborating with content sharing, meeting chats, and whiteboarding. This also introduces the risk of inadvertently showing confidential information and PII over a channel that has historically lacked oversight tools.

Theta Lake analyzes risks in the background and transcribes whiteboard and shared content (i.e., presentation and desktop share). Now you can enable key Zoom capabilities without opening your firm to undue risk.

How it Works

Joint Zoom and Theta Lake customers benefit from policy-based retention, automated risk detection, and workflow insights that streamline supervision of voice, video, and chat content within Zoom Meetings.

1. You can create groups of users that are subject to recording requirements for Zoom Meetings.
2. Theta Lake natively integrates with Zoom and enables you to apply compliance policies and create customizable workflows for the videos you want included in your governance practice.

All Detections Active (in use) Copy

All Detections Active.

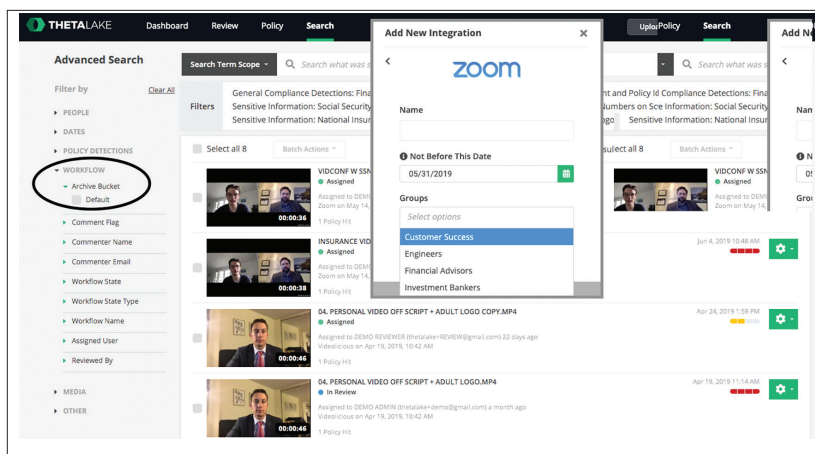
Used in 1 Smart Workflow Review Rules

Redaction Policy: None

Search categories

	Active	Risk
US Financial Services		
FINRA Detections		
FINRA Screen Disclaimers Validation	✓	✓
FINRA Customer Complaints Risks	✓	⚠
FFIEC Detections		
FFIEC Screen Promotion, Credit Discussion, or Rate Comparison Risks	✓	⚠
FFIEC Screen Disclosure Validation	✓	✓
CFTC Detections		
DoD-Frank Swap Activity on Screen	✓	⚠
UK Financial Services	Active	Risk
FCA Screen Disclaimer Validation	✓	✓
FCA PRRP Key Information Document (KID) Shared Reference	✓	⚠
General Financial Services Concerns	Active	Risk
Stock or Mutual Fund Symbols Screen	✓	⚠
Financial Documents Displayed	✓	⚠
Confidential Information	Redaction Policy: None	Active
Account and Policy Identifiers on Screen	✓	⚠
Data Leakage and Exposure		
Whiteboards on Screen	✓	⚠

Theta Lake adds automated, machine learning-based detection of security and compliance risks onscreen, in audio, in chat, and in shares.



Easy archiving integration to consume and compliantly archive recordings for all or selected groups of Zoom users.

3. Theta Lake automatically analyzes shown, shared, spoken, written, and whiteboard content when a new Zoom Meeting recording is created.

4. Organizations create rule-based policies for long-term video content retention in a WORM-compliant archive and have access to rich eDiscovery across audio and video recordings.



ABOUT THETA LAKE. Theta Lake provides cloud-based compliance for video, audio, and other modern digital communications. Its patent-pending technology uses AI, deep learning, and seamlessly integrates with the leading audio recording, video marketing, and video conferencing platforms to detect compliance risks in what was said or shown in modern digital communications. Using AI to also power insights and automation, Theta Lake provides directed workflow to add consistency, efficiency and scale to the compliance review and supervision process, driving down the cost of compliance.